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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Application Number

09/685,924

Filing Date

October 10, 2000

First Named Inventor

Phillip Koh-Kwe Hsu

Group Art Unit

2673

Examiner Name

Not yet assigned

Attorney Docket Number

4034-62

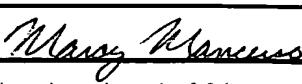
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<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Petitions to the Commissioner <input type="checkbox"/> Request For Corrected Filing Receipt With A Copy Of the Official Receipt <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement Form PTO-1449 <u>36</u> References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) - _____ Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Withdrawal of attorney as Attorney or Agent <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; width: 150px; height: 40px; margin-top: 5px;">           Return Receipt Postcard         </div>
Remarks <div style="border: 1px solid black; width: 100%; height: 40px; margin-top: 5px;"></div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893
Signature	
Date	January 9, 2001

## CERTIFICATE OF MAILING

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Phillip Koh-Kwe Hsu  
Serial No.: 09/685,924  
Filed: October 10, 2000  
For: **INTRANET SYSTEM FOR A FINANCIAL SERVICES CORPORATION**

Group Art Unit: 2673  
Examiner: Not yet assigned  
Docket No. 4934-62  
Technology Center 2100

Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(b)**

In accordance with the duty of disclosure under 37 CFR. §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the following documents, which are listed on the attached PTO-1449 Form. The Examiner may deem these documents to be relevant to patentability of the claims of the above-identified application. A copy of each of the documents is enclosed for convenience of the Examiner.

The submission of the listed documents is not intended as an admission that any of the documents constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

It is understood that no fee is necessary for submission of this Information Disclosure Statement, as it is being filed before the later of three months from the filing date or the mailing of the first Office Action on the merits.

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The Commissioner is hereby authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Technology Center No. 50-0444.

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*Leslie S. Restaino*  
Leslie Gladstone Restaino  
Reg. No. 38,893

Enclosures

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(973) 538-0800

Dated: January 9, 2001

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*Marcy H. Mancuso*  
Signature of the person signing the certification

**Marcy H. Mancuso**  
Printed or typed name of the person signing the certificate

*January 9, 2001*  
Date

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